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Attorney Docket :10235/10

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor : Kazuo SHINOZAKI, et al.

Serial No.: 09/988,739

Filing Date: November 20, 2001

For: ENVIRONMENTAL STRESS
RESPONSIVE PROMOTER

Art Unit : Not Assigned

Examiner : Not Assigned

Assistant Commissioner FOR Patents
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.97(b)(3)

S I R:

Under the provisions of 37 CFR 1.97(b)(3), and in accord with Applicants' duty of disclosure under 37 CFR 1.56, Applicants would like to call the Examiner's attention to the five documents cited on the attached form PTO-1449.

The references were cited in the Partial European Search Report, a copy of which is also enclosed.

The Examiner is respectfully requested to review, and to mark as considered, the references listed on the attached form PTO-1449, to make them of record in the present application and to include them in the "References Cited" section of any patent to issue on the present application.

The Office is hereby authorized to charge any fees under 37 CFR 1.16 or 1.17, or to credit any overpayment, to Deposit Account No. 11-0600.

The Examiner is invited to contact the undersigned at 202/220-4200 to discuss any matter in this application.

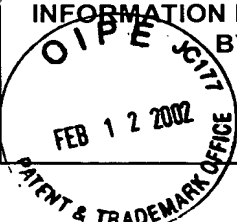
Respectfully submitted,

KENYON & KENYON


Judith L. Toffenetti
Reg. No. 39,048

Feb. 12, 2002
Date

1500 K Street, NW - Suite 700
Washington, DC 20005
telephone: 202/220-4200
facsimile: 202/220-4201

Form 1449	ATTY. DOCKET NO. 10235/10	SERIAL NO. 09/988,739
INFORMATION DISCLOSURE STATEMENT BY APPLICANT 	APPLICANT Kazuo SHINOZAKI, et al.	
	FILING DATE November 20, 2001	GROUP Not Assigned

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U. S. PATENT DOCUMENTS

EXAMINER INITIAL	PATENT NUMBER	PATENT DATE	NAME	CLASS/ SUBCLASS	FILING DATE

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLAS S	TRANSLATION	
					YES	NO

OTHER DOCUMENTS

EXAMINER INITIAL	AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.
	M. Kasuga <i>et al.</i> , <i>Improving plant drought, salt, and freezing tolerance by gene transfer of a single stress-inducible transcription factor</i> , <i>Nature Biotechnology</i> 17 , pp 287-291 (1999)
	Q. LIU <i>et al.</i> , <i>Two Transcription Factors, DREB1 and DREB2, with an EREBP/AP2 DNA Binding Domain Separate Two Cellular Signal Transduction Pathways in Drought- and Low-Temperature-Responsive Gene Expression, Respectively, in Arabidopsis</i> , <i>The Plant Cell</i> 10 , pp1391-1406 (1998)
	K. Shinozaki <i>et al.</i> , <i>Gene Expression and Signal Transduction in Water-Stress Response</i> , <i>Plant Physiology</i> 115 , pp 327-334 (1997)
	H.J. Bohnert, <i>et al.</i> , <i>Adaptations to Environmental Stresses</i> , <i>The Plant Cell</i> 7 , pp1099-1111 (1995)
	X. Lin <i>et al.</i> , <i>Sequence and analysis of chromosome 2 of the plant Arabidopsis thaliana</i> , <i>Nature</i> 402 , pp 761-768 (1999)
EXAMINER	DATE CONSIDERED

EXAMINER: Initial if citation is considered, whether or not citation is in conformance with M.P.E.P. 609; strike out citation if not in conformance and not considered. Include copy of this form with next communication to applicant.